

AFRL-SA-WP-TP-2012-0001



EPIDEMIOLOGIC STUDIES IN ELITE WARFIGHTERS: U.S. AIR FORCE BATTLEFIELD AIRMEN, ARMY RANGERS, AND NAVY SEALS



Gen Maupin, MPH

December 2010

Distribution A: Approved for public release; distribution is unlimited. Case Number: 88ABW-2012-3223,

5 Jun 2012

Air Force Research Laboratory 711th Human Performance Wing School of Aerospace Medicine Aeromedical Research Department 2510 Fifth St. Wright-Patterson AFB, OH 45433-7913

REPORT DO	OCUMENT	ATION PAG	E		Form Approved		
Public reporting burden for th	nis collection of information	is estimated to average 1 h	our per response including t	ne time for reviewing ins	OMB No. 0704-0188 tructions, searching existing data sources, gathering and		
maintaining the data needed	, and completing and review	wing this collection of inform	nation. Send comments rega	rding this burden estima	te or any other aspect of this collection of information, including		
1204, Arlington, VA 22202-4	302. Respondents should	be aware that notwithstand	ing any other provision of law	, no person shall be sub	ons and Reports (0704-0188), 1215 Jefferson Davis Highway, Suite oject to any penalty for failing to comply with a collection of		
information if it does not disp 1. REPORT DATE (I		ontrol number. PLEASE D	O NOT RETURN YOUR FOR	RM TO THE ABOVE AD	3. DATES COVERED (From – To)		
30 Dec 2010)D-WW-1111)	Technica			1-30 December 2010		
4. TITLE AND SUBT	TTLE	Tooming	i i upoi		5a. CONTRACT NUMBER		
Epidemiologic Studies in Elite Warfighters: U.S. Air Fo Rangers, and Navy SEALs			orce Battlefield Air	men, Army	5b. GRANT NUMBER		
rungers, and rury					5c. PROGRAM ELEMENT NUMBER		
6. AUTHOR(S)					5d. PROJECT NUMBER		
Gen Maupin							
					5e. TASK NUMBER		
					5f. WORK UNIT NUMBER		
7. PERFORMING OF	RGANIZATION NAM	IE(S) AND ADDRES	S(ES)		8. PERFORMING ORGANIZATION REPORT		
USAF School of A			-(-,		NUMBER		
Aeromedical Research Department/FHS							
2510 Fifth St.					AFRL-SA-WP-TP-2012-0001		
Wright-Patterson A	AFB, OH 45433-79	913					
9. SPONSORING / N	IONITORING AGEN	ICY NAME(S) AND	ADDRESS(ES)		10. SPONSORING/MONITOR'S ACRONYM(S)		
					11. SPONSOR/MONITOR'S REPORT		
					NUMBER(S)		
12. DISTRIBUTION	AVAILABILITY ST	ATEMENT					
Distribution A: Approved for public release; distribution is unlimited. Case Number: 88ABW-2012-3223, 5 Jun 2012							
13. SUPPLEMENTA	RY NOTES						
44 40070407							
14. ABSTRACT U.S. Air Force battlefield airmen are a select group of warfighters consisting of personnel in the following career fields: combat							
					ontrol party (liaison between air and ground		
					nce disposal (bomb disablers). U.S. Army		
					s to battlefield airmen. Although little		
					ainees, some has been done on Rangers,		
			ne of the available l		amees, some has even done on rangers,		
521125, uno unon u	Time pupe						
15. SUBJECT TERMS							
Battlefield airmen, Army Rangers, Navy SEALs, elite warfighters, stressors							
46 CECUDITY OF A	POLICATION OF		47	40 NUMBER	400 NAME OF DECRONORY E PERCON		
16. SECURITY CLAS	SSIFICATION OF:		17. LIMITATION OF ABSTRACT	18. NUMBER OF PAGES	19a. NAME OF RESPONSIBLE PERSON Gen Maupin		
a. REPORT	b. ABSTRACT	c. THIS PAGE			19b. TELEPHONE NUMBER (include area		
U	U	U	SAR	10	code)		

TABLE OF CONTENTS

Sectio	n	Page
1.0	INTRODUCTION	. 1
2.0	FACTORS FOR PERFORMANCE OPTIMIZATION	. 1
	2.1 Cognitive Functioning 2.2 Immunological Functioning 2.3 Nutrition 2.4 Conditioning 2.5 Health Risk Behaviors 2.6 Altitude Sickness 2.7 Heat Injury	2 2 2 3
3.0	SUMMARY	4
4.0	REFERENCES	4

This page intentionally left blank.

1.0 INTRODUCTION

U.S. Air Force battlefield airmen are a select group of warfighters consisting of personnel in the following career fields: combat controllers (ground troops), pararescue (air recovery and medical treatment), tactical air control party (liaison between air and ground operations), special operations weather (meteorological intelligence), and explosive ordnance disposal (bomb disablers). U.S. Army Rangers and U.S. Navy Sea, Air, and Land (SEALs) are generally considered counterparts to battlefield airmen. Although little epidemiologic research has been conducted on battlefield airmen and battlefield airmen trainees, some has been done on Rangers, SEALs, and their trainees. This paper summarizes some of the available literature.

All of these warfighters are akin to elite athletes. Therefore, their physical and mental health is critical to successful performance and overall military mission success. However, due to the specialized nature of their work, they experience stressors not often experienced by other career fields. These stressors can include sleep deprivation, caloric restriction, terrain and climate challenges, excessive physical activity, life-threatening situations, and graphic war violence.

2.0 FACTORS FOR PERFORMANCE OPTIMIZATION

2.1 Cognitive Functioning

It is not surprising that cognitive functioning is impaired during stressful situations; however, much of the evidence in these populations is anecdotal. One group conducted a series of analyses to characterize the impairment. During a stressful combat-like training exercise, cognitive function was found to be impaired at a level greater than typically seen produced by alcohol intoxication or clinical hypoglycemia (Ref 1,2). The effect of caffeine on cognitive functioning was tested. Measurements included four cognitive tests, mood state, and marksmanship. In general, they found that although sleep deprivation [average hours of sleep per night: 3.2 (Ref 3)] and stress affected performance and mood, caffeine mitigated those effects in a dose-dependent manner. However, marksmanship was not improved with the addition of caffeine (Ref 4). The effects on marksmanship were measured by accuracy and sighting time, which were both negatively affected under sleep deprivation. Sighting time was reduced with the addition of caffeine (as compared to placebo), but accuracy was not improved (Ref 5).

Another study found that accuracy of cognitive function was not impaired under stressful conditions, but it took longer than normal for tasks to be completed (Ref 6). The authors reassessed the students 5 weeks after training to determine if long-term effects were present. Four tests were given for cognitive functioning, and three of those (decoding, pattern analysis, and reasoning) showed substantial recovery (memory did not). A third group studied the effect of fitness on fatigue and found that increased fitness may have a positive effect on cognitive performance, particularly in context of sleep loss and other stressors (Ref 7).

2.2 Immunological Functioning

In the training environment, stressors can also have effects on immunological functioning. Moore et al. (Ref 8) found that T- and B-lymphocyte proliferation was impaired and that Rangers were more susceptible to infectious diseases. Kramer (Ref 9) also found that there were reduced numbers of circulating T-lymphocytes. Substantial recovery of T-lymphocyte activity was demonstrated 5 weeks post-training (Ref 6). The authors suggest that refeeding and increases in sleep can restore immune and cognitive functioning, given enough time. They also suggest that increasing caloric intake can help improve immune function and thus fight infection. However, another group looked at the effect of a nutrient-rich food bar during stress on immune function and found no significant changes in antibody response (Ref 10). Testosterone, 3,5,3'-triiodothyronine, and insulin-like growth factor were found to be reliable biomarkers of energy deficits in the presence of stress (Ref 11).

2.3 Nutrition

During a 2004 operation in Afghanistan, nutrition was a major concern. Midla suggests that a multivitamin be added to the meals ready to eat provided to our deployed troops and that a banquet of rations prior to deployment should be stressed, in preparation for the fasting that occurs (Ref 12). This was not a research study, however, but an expert's opinion.

During Ranger training, Pleban et al. (Ref 3) found that although body mass decreased over the course of training, body fat did not. This suggests that the body mass reduction was in the form of lean body mass. However, a similar study found that body fat did decrease from approximately 15% to 5% and that immune function was suppressed (Ref 13). Intervention included a small increase (16%) in caloric intake, which resulted in decreased weight loss and improved immune function. Interestingly, a Norwegian study found that females were more likely to oxidize body fat per kilogram fat-free mass during stressful training than males (Ref 14).

2.4 Conditioning

In 1994, a Navy group designed an exercise program for SEALs to address both aerobic and anaerobic fitness needs in context of cycles of low-intensity work followed by high-intensity activity. Training time, including physical training time, needs to be used efficiently and effectively to meet operational needs. The authors designed the program to meet both aerobic and anaerobic fitness needs in the same training session, rather than separate workouts. The program consisted of three groups: continuous, intermittent, and supramaximal training. They found that their program improved both aerobic and anaerobic capacity (in all groups), which would allow for better use of training time (Ref 15). However, this study had a small number of subjects.

2.5 Health Risk Behaviors

A health assessment of Army Rangers at Fort Benning, Georgia, found that additional health education programs for this select population are recommended (Ref 16). The Rangers surveyed had high usage of tobacco and alcohol, which is concerning because of the high physical demands placed on them. However, the athletes were successful at maintaining hydration in proportion to their high levels of physical activity and were actively taking supplements to improve performance. The authors suggest that dietary recommendations for elite athletes were not being met in this population and that optimization of diet is necessary. Additionally, the high level of supplement use was concerning due to the lack of a supporting rationale. These two items, coupled with high tobacco and alcohol use, emphasize the need for education of this population, although the authors do not provide suggestions on ways to educate.

Health risk behaviors seen in Navy SEALs included high smokeless tobacco use, high alcohol use, low seat belt use, and high rates of drunk driving. However, this group had high levels of physical activity and a low smoking rate (Ref 17). Sexually transmitted disease rates were also high, suggesting risky sexual behaviors. SEAL recruits had high rates of overuse injuries, which were found to be more prevalent in those who had less physical activity before entering training (Ref 18). A Navy group suggested ways to reduce attrition by prescreening and selecting certain characteristics in trainees entering the program (Ref 19). An Army group looked at attrition as well, but in active Rangers, and found that terrain and equipment load had the largest effect on attrition (Ref 20). In 1991, Garrett (Ref 21) suggested that the components necessary for maintaining unit cohesiveness and motivation for combat lie in four factors: morale, cohesion, training, and effective leadership.

2.6 Altitude Sickness

Of those who ascend to elevations above 9,000 feet, approximately one-fifth experience altitude sickness. In a group deployed to Afghanistan, premedication for altitude sickness was performed [250 mg twice a day acetazolamide (Diamox), 24 hours before infiltration; 125 mg twice a day upon landing and continued for an additional 4 days). At the end of the operation, zero individuals experienced altitude sickness (Ref 12). However, this was not a research study but rather observations from the field.

2.7 Heat Injury

A core temperature monitoring unit was tested in a group of Ranger trainees to assess thermal strain by monitoring core temperature. Medics rated the device easy to use and indicated they were likely to use the item if provided to them (Ref 22). This would provide early detection of heat injury so that cooling interventions could be given early, thus minimizing injury severity.

3.0 SUMMARY

Cognitive functioning was impaired under stressful situations at a level greater than typically seen produced by alcohol intoxication, but caffeine appeared to mitigate the impairment in areas other than marksmanship. Not surprisingly, the stressful training environment negatively affected the immune system; however, supplementation with additional nutrients may mitigate this effect. Long-term cognitive and immunological effects were not generally present given adequate time for rest and caloric replenishment. Additional nutritional supplementation may also decrease weight loss, especially considering that weight loss may be in the form of lean body mass (as opposed to body fat). Elite warfighters appear to engage in risky health behaviors such as high tobacco and alcohol use, low seat belt use, high rates of drunk driving, and risky sexual behaviors. Education of this population in the training environment is encouraged to reduce these behaviors, as well as provide nutritional instruction. Finally, technology may be a valuable tool for early detection of adverse events in the training environment, allowing for early intervention and reduced injury and illness.

4.0 REFERENCES

- 1. Lieberman HR, Bathalon GP, Falco CM, Morgan CA, Niro PJ, Tharion WJ, "The Fog of War: Decrements in Cognitive Performance and Mood Associated with Combat-Like Stress," *Aviation, Space, and Environmental Medicine*, **76**(7 Suppl.), Jul 2005, pp. C7-14.
- 2. Lieberman HR, Caruso CM, Niro PJ, Bathalon GP, "Acute Effects of Battlefield-Like Stress on Cognitive and Endocrine Function of Officers from an Elite Army Unit," in *Human Dimensions in Military Operations Military Leaders' Strategies for Addressing Stress and Psychological Support*, Meeting Proceedings RTO-MP-HFM-134, Paper 33, North Atlantic Treaty Organization, Research and Technology Organization, Neuilly-sur-Seine, France, 2006.
- 3. Pleban RJ, Valentine PJ, Penetar DM, Redmond DP, Belenky GL, "Characterization of Sleep and Body Composition Changes During Ranger Training," *Military Psychology*, **2**(3), 1990, pp. 145-56.
- 4. Lieberman HR, Tharion WJ, Shukitt-Hale B, Speckman KL, Tulley R, "Effects of Caffeine, Sleep Loss, and Stress on Cognitive Performance and Mood During U.S. Navy SEAL Training," *Psychopharmacology*, **164**(3), Nov 2002, pp. 250-61.
- 5. Tharion WJ, Shukitt-Hale B, Lieberman HR, "Caffeine Effects on Marksmanship During High-Stress Military Training with 72 Hour Sleep Deprivation," *Aviation, Space, and Environmental Medicine*, **74**(4), Apr 2003, pp. 309-14.

- 6. Friedl KE, Mays MZ, Kramer TR, Shippee RL, "Acute Recovery of Physiological and Cognitive Function in U.S. Army Ranger Students in a Multistressor Field Environment," in *The Effect of Prolonged Military Activities in Man. Physiological and Biochemical Changes. Possible Means of Rapid Recuperation*, RTO Meeting Proceedings 42, North Atlantic Treaty Organization, Research and Technology Organization, Neuilly-sur-Seine, France, Apr 1995.
- 7. Pleban RJ, Thomas DA, Thompson HL, *Physical Fitness as a Moderator of Cognitive Work Capacity and Fatigue Onset Under Sustained Combat-Like Operations*, Technical Report 687, U.S. Army Research Institute for the Behavioral and Social Sciences, Fort Benning, GA, Jun 1985.
- 8. Moore RJ, Friedl KE, Kramer TR, Martinez-Lopez LE, Hoyt RW, Tulley RE, DeLany JP, Askew EW, Vogel JA, *Changes in Soldier Nutritional Status & Immune Function during the Ranger Training Course*, Report No. T13-92, U.S. Army Research Institute of Environmental Medicine, Natick, MA, Sep 1992.
- 9. Kramer TR, Support Between USAMRDC and USDA for Cooperative Research Under the Ration Sustainment Testing Program, U.S. Department of Agriculture, Beltsville Human Nutrition Research Center, Beltsville, MD, Apr 1993.
- 10. Wood SM, Kennedy JS, Shippee R, Arsenault J, *The Effects of a Nutrient-Enriched Bar on Host Defense Mechanisms and Immunogenicity of Hepatitis A Vaccine during US Army Ranger Training*, U.S. Army Research Institute of Environmental Medicine, Natick, MA, Feb 2000.
- 11. Friedl KE, Moore RJ, Hoyt RW, Marchitelli LJ, Martinez-Lopez LE, Askew EW, "Endocrine Markers of Semistarvation in Healthy Lean Men in a Multistressor Environment," *Journal of Applied Physiology*, **88**(5), 1 May 2000, pp. 1820-30.
- 12. Midla GS, "Lessons Learned: Operation Anaconda," *Military Medicine*, **169**(10), Oct 2004, pp. 810-3.
- 13. Shippee R, Friedl K, Kramer T, Mays M, Popp K, Askew E, Fairbrother B, Hoyt R, Vogel J, Marchitelli L, Frykman P, Martinez-Lopez L, Bernton E, Kramer M, Tulley R, Rood J, Delany J, Jezior D, Arsenault J, *Nutritional and Immunological Assessment of Ranger Students with Increased Caloric Intake*, Report No. T95-5, U.S. Army Research Institute of Environmental Medicine, Natick, MA,Dec 1994.

- 14. Hoyt RW, Opstad PK, Haugen AH, DeLany JP, Cymerman A, Friedl KE, "Negative Energy Balance in Male and Female Rangers: Effects of 7 d of Sustained Exercise and Food Deprivation," *American Journal of Clinical Nutrition*, **83**(5), May 2006, pp. 1068-75.
- 15. Jacobs I, Prusaczyk WK, Goforth HW Jr., *Adaptations to Three Weeks of Aerobic/Anaerobic Training in West Coast U.S. Navy Sea-Air-Land Personnel (SEALs)*, Report No. 94-28, Naval Health Research Center, San Diego, CA, Dec 1994.
- 16. Deuster PA, Sridhar A, Becker WJ, Coll R, O'Brien KK, Bathalon G, "Health Assessment of U.S. Army Rangers," *Military Medicine*, **168**(1), Jan 2003, pp. 57-62.
- 17. Johnson KR, Wang MQ, *A Health-Risk Appraisal of Naval Special Operations Forces Personnel: Executive Summary*, NAMRL Special Report 00-01, Naval Aerospace Medical Research Laboratory, Pensacola FL, Jan 2000.
- 18. Shwayhat AF, Linenger JM, Hofherr LK, Slymen DJ, Johnson CW, "Profiles of Exercise History and Overuse Injuries Among United States Navy Sea, Air, and Land (SEAL) Recruits," *American Journal of Sports Medicine*, **22**(6), Nov-Dec 1994, pp. 835-40.
- 19. McDonald MA, Norton JP, Hodgdon JA, "Training Success in U.S. Navy Special Forces," *Aviation, Space, and Environmental Medicine*, **61**(6), Jun 1990, pp. 548-54.
- 20. Kotwal RS, Meyer DE, O'Connor KC, Shahbaz BA, Johnson TR, Sterling RA, Wenzel RB, "Army Ranger Casualty, Attrition, and Surgery Rates for Airborne Operations in Afghanistan and Iraq," *Aviation, Space, and Environmental Medicine*, **75**(10), Oct 2004, pp. 833-40.
- 21. Garrett JD, *The Problem of Motivation in the Third Dimension of Combat: What's the Solution?* School of Advanced Military Studies, U.S. Army Command and General Staff College, Fort Leavenworth, KS, Apr 1991.
- 22. Tharion WJ, Hoyt RW, *Ranger Medic Evaluation for Field Use of a Core Temperature Monitoring Unit*, USARIEM Technical Report T05-03, U.S. Army Research Institute of Environmental Medicine, Natick, MA, Dec 2004.